

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
APPLICATION

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) &amp; (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications



00909

11129 U.S. PTO

10/05/01

Hon. Commissioner of Patents  
Washington, DC 20231

Atty. Dkt.

P 274056

M#

Client Ref

Date:

October 5, 2001

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

SYSTEM AND METHOD FOR DETERMINING THE OPTIMUM CONFIGURATION  
STRATEGY FOR SYSTEMS WITH MULTIPLE DECISION OPTIONS

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 209 Pages of Specification (only spec. and claims);

5. ☐ Specification in non-English language

6. 330 Numbered claim(s); and

7. ☒ Drawings: 42 sheet(s) ☒ 1 set informal;8. ☐ formal of size: ☐ A4 ☐ 11"9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/238,124	October 6, 2000	(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_12. ☐ This is a reissue of Patent No. \_\_\_\_\_13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. \_\_\_\_\_ / filed \_\_\_\_\_

No. \_\_\_\_\_ / filed \_\_\_\_\_

No. \_\_\_\_\_ / filed \_\_\_\_\_

No. PCT/ \_\_\_\_\_ / filed \_\_\_\_\_, which

designated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (pre-filing confirmation required)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)17(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

18. ☐ Assignee (optional)

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Sean	P.	WILLEMS
	First	Middle Initial	Family Name
Residence	Brookline	Massachusetts	UNITED STATES
	City	State/Foreign Country	Country of Citizenship
Mailing Address	21 Green Street #1, Brookline, MA		
(include Zip Code)	02446		

(2) Inventor	John	D.	RUARK
	First	Middle Initial	Family Name
Residence	Cambridge	Massachusetts	UNITED STATES
	City	State/Foreign Country	Country of Citizenship
Mailing Address	17 Gurney Street, #1. Cambridge, Massachusetts		
(include Zip Code)	02138		

(3) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, "X" box ☐ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP  
Intellectual Property Group



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By: Atty: Jack S. Barufka Reg. No. 37087

Sig:

Atty/Sec: JSB/vaw

Fax: (703) 905-2500  
Tel: (703) 905-2012

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

# APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. PW 274056

(M#)

Invention: **SYSTEM AND METHOD FOR DETERMINING THE OPTIMUM CONFIGURATION STRATEGY FOR SYSTEMS WITH MULTIPLE DECISION OPTIONS**

Inventor (s): **Sean P. WILLEMS  
John D. RUARK**

Pillsbury Winthrop LLP  
Intellectual Property Group  
1600 Tysons BoulevardMcLean, VA  
22102  
Tel: (703) 905-2000  
Attorneys  
Telephone:

This is a:

- ☐ Provisional Application
- ☒ Regular Utility Application
- ☐ Continuing Application
  - ☐ The contents of the parent are incorporated by reference
- ☐ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application
- ☐ Substitute Specification
  - Sub. Spec Filed \_\_\_\_\_
  - in App. No. \_\_\_\_\_ / \_\_\_\_\_
- ☐ Marked up Specification re
  - Sub. Spec. filed \_\_\_\_\_
  - In App. No. \_\_\_\_\_ / \_\_\_\_\_

## SPECIFICATION

0997114-100501